

1 IACUC Review of Federally Funded Grant Applications

2 Effective: Moved to Policy Library from the Office of Research Assurances

3 Updated/Revised: June 3, 2009

4 Contact: [Office for Responsible Research](#)

5 Introduction

6 The purpose of this policy is to clarify requirements regarding Institutional Animal Care and Use Committee (IACUC) review
7 of federally funded research projects.

8 Policy Statement

9 Public Health Service (PHS) policy and the NIH Grants policy require institutional certification, when research is supported
10 by PHS funds or is funded by a PHS member agency, that the proposed research has been reviewed and approved by the
11 IACUC. In order to be in compliance with the regulations, investigators must submit copies of the federal grant proposal with
12 the application for IACUC approval.

13 The IACUC is responsible for ensuring that the information in the application for approval is congruent with what is in the
14 grant application. If discrepancies exist between the two documents, the investigator must provide clarification. This
15 clarification may consist of:

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- 17 • Reconciling the information in the application to match the grant
 - 18 • Confirmation of what the actual research plan involves (e.g., confirm the portions of the grant application that are correct,
19 provide an explanation for additional items included in the IACUC application, confirm that procedures listed in the grant
application have been removed from the research plan or will be conducted at a later date, etc.)

20 This approach is based upon the guiding principal that the IACUC is responsible for the humane care and use of animals.
21 And by the investigator clarifying any discrepancies, the IACUC will know what is being approved in both documents. This
22 form of review also allows the flexibility necessary to accommodate changes:

- 23
- 24 • In the federal grant proposal due to budget reductions,
 - 25 • In the scope of the project, or
 - For which the investigator has obtained his/her program officer's approval, etc.

26 Resources

27 Links

- 28
- 29 • [Animal Subjects – Use in Research and Teaching](#)
 - 30 • [Office of Laboratory Animal Welfare, National Institute of Health](#)
 - 31 • [Office of Laboratory Animal Welfare, Frequently Asked Questions](#)
 - 32 • [Office for Responsible Research](#)
 - 33 • [Institutional Animal Care and Use Committee \(IACUC\) website](#)

33 Files

- 34
- [IACUC Review of Federally Funded Grant Applications \[Policy in PDF with line numbers\]](#)