# Responsible Conduct of Research Training NSF and NIH Required

3 Effective: May 10, 2011

4 Contact: Office for Responsible Research

## Introduction

- 6 This policy complies with National Science Foundation (NSF) and National Institutes of Health (NIH)
- 7 requirements for an institutional program of education in the Responsible Conduct of Research
- 8 (RCR). NIH defines responsible conduct of research as "the practice of scientific investigation with
- 9 integrity. It involves the awareness and application of established professional norms and ethical
- 10 principles in the performance of all activities related to scientific research." This training is separate
- from the training required for research involving animals, humans, and biohazards.

#### 12 Scope

5

- 13 NSF requires responsible conduct of research (RCR) training for all undergraduates, graduates, and
- 14 post-doctoral fellows who conduct research supported by NSF funds. All institutions submitting
- applications must certify, at the time of application submission, that plans are in place to provide
- appropriate training and oversight of the RCR training. Certification of compliance with the
- 17 requirements is given by the Office for Responsible Research (ORR) on behalf of the Vice President
- 18 for Research.
- 19 Similarly, NIH requires that all trainees, fellows, participants, and scholars receiving support through
- any NIH training, career development award (individual or institutional), research education grant, or
- 21 dissertation research grant must receive RCR training. This requirement also applies to all faculty,
- 22 including new faculty, mid-career faculty and senior faculty, and professional and scientific
- 23 employees receiving funding from these sources. Plans to meet the RCR requirements must be
- specified in the principal investigator's proposal application.
- 25 Each institution is responsible for verifying that the required training is being completed.

## 26 Policy Statement

- 27 All individuals identified above must complete RCR training as outlined on the RCR website (see
- 28 Resources below).
- 29 Principal investigators are responsible for ensuring that training has been completed for project
- 30 personnel as required by NSF and NIH. When project personnel are hired after the award period of
- 31 performance has begun, Principal Investigators must insure that those individuals also received the
- 32 required training.

## 34 Resources

### 35 Links

- Responsible Conduct of Research (RCR) website, ISU
- Office for Responsible Research, ISU
- NIH Guide, Volume 23, Number 23, June 17, 1994 Requirement for Instruction in the
- 39 Responsible Conduct of Research Training Grants
- Notice Number: NOT-OD-10-019, November 24, 2009 Update on the Requirement for
- 41 <u>Instruction in the Responsible Conduct of Research</u>